

# PAI

## Individual Abuse Prevention Plan (IAPP)

### Instructions and requirements:

This program is required to establish and enforce ongoing written individual abuse prevention plans as required under Minnesota Statutes, section [626.557](#), subdivision 14 and section [245A.65](#), subdivision 2 (b).

Development and review of the plan: An individual abuse prevention plan shall be developed for each new person as part of the initial individual program plan or service plan required under the applicable licensing rule. The review and evaluation of the individual abuse prevention plan shall be done as part of the review of the program plan or service plan. The person receiving services shall participate in the development of the individual abuse prevention plan to the full extent of the person's abilities. If applicable, the person's legal representative shall be given the opportunity to participate with or for the person in the development of the plan. The interdisciplinary team shall document the review of all abuse prevention plans at least annually, using the individual assessment and any reports of abuse relating to the person. The plan shall be revised to reflect the results of this review.

Plan contents: The plan shall include a statement of measures that will be taken to minimize the risk of abuse to the vulnerable adult when the individual assessment required in section 626.557, subdivision 14, paragraph (b), indicates the need for measures in addition to the specific measures identified in the program abuse prevention plan. The measures shall include the specific actions the program will take to minimize the risk of abuse within the scope of the licensed services, and will identify referrals made when the vulnerable adult is susceptible to abuse outside the scope or control of the licensed services. When the assessment indicates that the vulnerable adult does not need specific risk reduction measures in addition to those identified in the program abuse prevention plan, the individual abuse prevention plan shall document this determination.

Requirements of [626.557](#), subd. 14(b): Each facility, including a home health care agency and personal care attendant services providers, shall develop an individual abuse prevention plan for each vulnerable adult residing there or receiving services from them. The plan shall contain an individualized assessment of: (1) the person's susceptibility to abuse by other individuals, including other vulnerable adults; (2) the person's risk of abusing other vulnerable adults; and (3) statements of the specific measures to be taken to minimize the risk of abuse to that person and other vulnerable adults. For the purposes of this paragraph, the term "abuse" includes self-abuse.

Persons with history of violent crime an act of physical aggression toward others: If the program knows that the vulnerable adult has committed a violent crime or an act of physical aggression toward others, the individual abuse prevention plan must detail the measures to be taken to minimize the risk that the vulnerable adult might reasonably be expected to pose to visitors to the facility and persons outside the facility, if unsupervised. Under this section, a facility knows of a vulnerable adult's history of criminal misconduct or physical aggression if it receives such information from a law enforcement authority or through a medical record prepared by another facility, another health care provider, or the facility's ongoing assessments of the vulnerable adult.

Legal Authority: MS §§ [245D.071](#), subd. 2, [245A.65](#), subd. 2, and [626.557](#), subd. 14

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## Individual Abuse Prevention Plan (IAPP)

Person's Name: **Keith Buchman**

Program: PAI Oakdale

**Instructions:** For each area, assess whether the person is susceptible to abuse by others and the person's risk of abusing other vulnerable people. If susceptible, indicate why by checking the appropriate reason or by adding a reason. Identify specific measures to be taken to minimize the risk within the scope of licensed services and identify referrals needed when the person is susceptible outside the scope or control of the licensed services. If the person does not need specific risk reduction measures in addition to those identified in the program abuse prevention plan, document this determination and identify the area of the program prevention plan that addresses the area of susceptibility.

### A. Sexual abuse

Is the person susceptible to abuse in this area?  Yes (if any area below is checked)  No

- Lack of understanding of sexuality
- Likely to seek or cooperate in an abusive situation
- Inability to be assertive
- Other: Unable to report to the appropriate person if he were to be abused

Specific measures to minimize risk of abuse for each area checked:

- **All Areas:** Staff provide Keith with supervision at all times. If a staff were to witness sexual abuse involving Keith, staff would verbally tell the abuser to stop and physically move Keith to a safe location. Staff will assess for any injury, provide necessary first aid per their training and seek further medical attention as needed. All staff and volunteers are mandated reporters and are trained upon hire and annually thereafter to the MN State Vulnerable Adult Law and company Vulnerable Adult Maltreatment Reporting and Review Policy and Procedures. Staff will report all suspected or known maltreatment per company policy.
- **Lack of understanding of sexuality:** Keith has been diagnosed with Downs Syndrome resulting in severe developmental and cognitive delays. Due to this, Keith may not understand situations of a sexual nature. Keith may also not be able to understand the difference between abusive situations and non-abusive situations and is unable to give consent. This makes Keith more vulnerable to abuse.
- **Likely to seek or cooperate in an abusive situation and the inability to be assertive:** Keith has been diagnosed with a developmental and intellectual disability. Keith is nonverbal and is unable to physically or verbally be assertive. Keith may cooperate if in an abusive situation due to Keith's limited understanding and his inability to defend himself.
- **Keith is unable to report to the appropriate person if he were to be abused:** Due to Keith's developmental disability and lack of formal communication, Keith is unable to identify abusive situations, identify the appropriate person to tell, or to make a report.

**Referrals made when the person is susceptible to abuse outside the scope or control of this program (Identify the referral and the date it occurred).** No referrals are needed at this time.

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## B. Physical Abuse

Is the person susceptible to abuse in this area?  Yes (if any area below is checked)  No

- Inability to identify potentially dangerous situations
- Lack of community orientation skills
- Inappropriate interactions with others
- Inability to deal with verbally/physically aggressive persons
- Verbally / physically abusive to others
- "Victim" History exists
- Other: Unable to report to the appropriate person if he were to be abused

Specific measures to minimize risk of abuse for each area checked:

- **All Areas:** Keith has been diagnosed with a developmental and intellectual disability. Keith may not understand what constitutes a dangerous situation, how to advocate for safety or handle situations of abuse. Keith would be unable to report abuse. Staff provide Keith with supervision at all times. If a staff were to witness physical or emotional abuse involving Keith, staff would verbally tell the abuser to stop and physically assist Keith to a safe location. Staff will assess for any injury and provide necessary first aid per their training and seek further medical attention as needed. All staff and volunteers are mandated reporters and are trained upon hire and annually thereafter to the MN State Vulnerable Adult Law and company Vulnerable Adult Maltreatment Reporting and Review Policy and Procedures. Staff will report all suspected or known maltreatment per company policy.
- **Inability to identify potentially dangerous situations:** Staff observe what is occurring around Keith and intervene on his behalf if a potentially dangerous situation were to happen. Staff will call 911 on Keith's behalf in the event of an emergency.
- **Lack of community orientation skills:** Staff provide supervision of Keith while in the community and provide Keith with full physical support to practice all pedestrian and traffic safety skills. Staff access community resources with and on behalf of Keith.
- **Inappropriate interactions with others:** Keith may put/attempt to put peer's fingers in his mouth and has also bitten staff on the backside. If Keith attempts to put other people's fingers in his mouth, he will be verbally & physically redirected as needed. Staff will not bend over with their backside to Keith, they will crouch or squat instead.
- **Inability to deal with verbally/physically aggressive persons:** Keith is unable to handle physically or verbally aggressive people, Keith lacks the ability to be assertive and may cooperate in an abusive situation due to a limited understanding and physical inability to defend from a verbally or physically aggressive person.
- **Verbally/Physically abusive to others:** Keith may pull staff's hair when being assisted. Keith has also bitten staff on the backside. If Keith attempts to pull staff's hair, staff will apply gentle pressure to the top of his hand to help him release. Staff will not bend over with their backside to Keith, they will crouch or squat instead.
- **Keith is unable to report to the appropriate person if he were to be abused:** Due to Keith's developmental disability and lack of formal communication, Keith is unable to identify abusive situations, identify the appropriate person to tell, or to make a report.

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**Referrals made when the person is susceptible to abuse outside the scope or control of this program (Identify the referral and the date it occurred).** No referrals are needed at this time.

## C. Self Abuse

Is the person susceptible to abuse in this area?  Yes (if any area below is checked)  No

- Dresses inappropriately
- Refuses to eat
- Inability to care for self-help needs
- Lack of self-preservation skills (ignores personal safety)
- Engages in self-injurious behaviors
- Neglects or refuses to take medications
- Other: NA

Specific measures to minimize risk of abuse for each area checked:

- **Dresses inappropriately:** Keith has been diagnosed with a developmental and intellectual disability, which limits his understanding of weather appropriate clothing. Keith refuses to wear a hat, scarf, or gloves in frequently removes his shoes. He requires help in dressing for weather conditions and temperature. Staff assess the weather conditions and assist Keith with the appropriate clothing for the weather. Should Keith continually remove his hat, gloves, or shoes, they will be placed in his bag on the back of his wheelchair for emergency.
- **Inability to care for self-help needs:** Due to Keith's disabilities he requires full assistance in caring for all of self-help needs. Staff are trained to Keith's self-help needs and communicate with Keith while performing self-help tasks and encourage participation as able.
- **Lack of self-preservation skills (ignores personal safety):** Keith has been diagnosed with Epilepsy in addition to developmental disabilities. Keith may not understand when a situation is not safe and does not have the physical ability to keep himself out of unsafe situations. Staff provide supervision to Keith at all times. Staff observe what is occurring around him and intervene on Keith's behalf if a potentially dangerous situation were to happen. If needed, staff will assess for any injury and provide necessary first aid per their training and seek further medical attention as needed. Staff will call 911 on Keith's behalf in the event of an emergency. All staff are mandated reporters and are trained upon hire and annually thereafter to the MN State Vulnerable Adult Law and company Vulnerable Adult Maltreatment Reporting and Review Policy and Procedures. Staff will report all suspected or known maltreatment per company policy.
- **Engages in self-injurious behaviors:** Keith has been diagnosed with Downs Syndrome and lacks formal communication skills. Keith may bang his head/feet against the wall and may engage in hand mouthing to the point of causing skin breakdown on his hands. When Keith bangs his head/feet against the wall, this is generally a sign of discomfort & Keith will be physically assessed for injury or illness if and offer a standing order medication if needed. When Keith engages in hand mouthing, he will be verbally redirected & offered a drink as he may be thirsty. Keith will be assisted in drying his hands to decrease the likelihood of breakdown or infection to his hands.

**Referrals made when the person is susceptible to abuse outside the scope or control of this program (Identify the referral and the date it occurred).** No referrals are needed at this time.

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## D. Financial Exploitation

Is the person susceptible in this area?  Yes (if any area below is checked)  No

Inability to handle financial matters

Other: NA

Specific measures to minimize risk of abuse for each area checked:

- **Inability to handle financial matters:** PAI does not handle the safekeeping of money for Keith. Should Keith bring money to PAI to utilize, staff will support him in making a purchase, collecting the correct change and receipt, and sending the change and receipt home in his communication book. All staff are mandated reporters and are trained upon hire and annually thereafter to the MN State Vulnerable Adult Law and company Vulnerable Adult Maltreatment Reporting and Review Policy and Procedures. Staff will report all suspected or known financial maltreatment involving company policy.

**Referrals made when the person is susceptible to abuse outside the scope or control of this program (Identify the referral and the date it occurred).** No referrals are needed at this time.

## E. Is the program aware of this person committing a violent crime or act of physical aggression toward others?

Yes

No

Specific measures to be taken to minimize the risk this person might reasonably be expected to pose to visitors to the program and persons outside the program, if unsupervised: NA

**Referrals made when the person is susceptible to abuse outside the scope or control of this program (Identify the referral and the date it occurred).** No referrals are needed at this time.

An individual abuse prevention plan is developed for each new person as part of the initial service plan. The person will participate in the development of the plan to the full extent of their ability. When applicable, the person's legal representative will be given the opportunity to participate with or for the person in the development of the plan. The interdisciplinary team will document the review of the plan at least annually, using an individual assessment, as required in MN Statutes, section 245D.071, subd. 3, and any reports of abuse relating to the person. The plan shall be revised to reflect the results of this review.