Individual Abuse Prevention Plan (IAPP)

Instructions and requirements:

This program is required to establish and enforce ongoing written individual abuse prevention plans as required under Minnesota Statutes, section 626.557, subdivision 14 and section 245A.65, subdivision 2 (b).

Development and review of the plan: An individual abuse prevention plan shall be developed for each new person as part of the initial individual program plan or service plan required under the applicable licensing rule. The review and evaluation of the individual abuse prevention plan shall be done as part of the review of the program plan or service plan. The person receiving services shall participate in the development of the individual abuse prevention plan to the full extent of the person's abilities. If applicable, the person's legal representative shall be given the opportunity to participate with or for the person in the development of the plan. The interdisciplinary team shall document the review of all abuse prevention plans at least annually, using the individual assessment and any reports of abuse relating to the person. The plan shall be revised to reflect the results of this review.

Plan contents: The plan shall include a statement of measures that will be taken to minimize the risk of abuse to the vulnerable adult when the individual assessment required in section 626.557, subdivision 14, paragraph (b), indicates the need for measures in addition to the specific measures identified in the program abuse prevention plan. The measures shall include the specific actions the program will take to minimize the risk of abuse within the scope of the licensed services, and will identify referrals made when the vulnerable adult is susceptible to abuse outside the scope or control of the licensed services. When the assessment indicates that the vulnerable adult does not need specific risk reduction measures in addition to those identified in the program abuse prevention plan, the individual abuse prevention plan shall document this determination.

Requirements of 626.557, subd. 14(b): Each facility, including a home health care agency and personal care attendant services providers, shall develop an individual abuse prevention plan for each vulnerable adult residing there or receiving services from them. The plan shall contain an individualized assessment of: (1) the person's susceptibility to abuse by other individuals, including other vulnerable adults; (2) the person's risk of abusing other vulnerable adults; and (3) statements of the specific measures to be taken to minimize the risk of abuse to that person and other vulnerable adults. For the purposes of this paragraph, the term "abuse" includes self-abuse.

Persons with history of violent crime an act of physical aggression toward others: If the program knows that the vulnerable adult has committed a violent crime or an act of physical aggression toward others, the individual abuse prevention plan must detail the measures to be taken to minimize the risk that the vulnerable adult might reasonably be expected to pose to visitors to the facility and persons outside the facility, if unsupervised. Under this section, a facility knows of a vulnerable adult's history of criminal misconduct or physical aggression if it receives such information from a law enforcement authority or through a medical record prepared by another facility, another health care provider, or the facility's ongoing assessments of the vulnerable adult.

Legal Authority: MS §§ 245D.071, subd. 2, 245A.65, subd. 2, and 626.557, subd. 14

Individual Abuse Prevention Plan (IAPP)

Person's Name: Richard Mitchell

Program: PAI-Oakdale

Instructions: For each area, assess whether the person is susceptible to abuse by others and the person's risk of abusing other vulnerable people. If susceptible, indicate why by checking the appropriate reason or by adding a reason. Identify specific measures to be taken to minimize the risk within the scope of licensed services and identify referrals needed when the person is susceptible outside the scope or control of the licensed services. If the person does not need specific risk reduction measures in addition to those identified in the program abuse prevention plan, document this determination and identify the area of the program prevention plan that addresses the area of susceptibility.

A. Sexual abuse

Is the person susceptible to abuse in this area? ☒ Yes (if any area below is checked) ☐ No

☒ Lack of understanding of sexuality
☒ Likely to seek or cooperate in an abusive situation
☒ Inability to be assertive
☒ Other: Rick is unable to report to the appropriate person if he were to be abused.

Specific measures to minimize risk of abuse for each area checked:

- **Lack of understanding of sexuality:** Rick has been diagnosed with developmental disabilities. Due to this, he may not understand situations of a sexual nature. He may also not be able to understand the difference between abusive situations and non-abusive situations. This makes him more vulnerable to sexual abuse.
- **Likely to seek or cooperate in an abusive situation and the inability to be assertive**: Rick has been diagnosed with Autism, Hypospadias, Nystagmus-congenital, Optic Nerve Atrophy, Scoliosis, a Seizure Disorder, Spastic Quadriplegia, Spinal Fusion, Syndactyly, in addition to profound developmental disabilities and he lacks a formal communication system. Rick is unable to physically or verbally be assertive and may cooperate if in an abusive situation due to his limited understanding and physical inability to defend himself.
- **Rick is unable to report to the appropriate person if he were to be abused:** Due to Rick's developmental disability and his lack of a formal communication system, he is unable to identify abusive situations, identify the appropriate person to tell, and to make a report.
- **All Areas:** Staff provide Rick with supervision at all times. If a staff were to witness sexual abuse of Rick, staff would verbally tell the abuser to stop and staff would move Rick to a safe location. Staff will assess for any injury and provide necessary first aid per their training and seek further medical attention, as needed. All staff are mandated reporters and are trained upon hire and annually thereafter to the MN State Vulnerable Adult Law and company Vulnerable Adult Maltreatment Reporting and Review Policy and Procedures. Staff will report all suspected or known maltreatment of Rick per company policy.

Referrals made when the person is susceptible to abuse outside the scope or control of this program (Identify the referral and the date it occurred). **Not applicable.**

B. Physical Abuse

Is the person susceptible to abuse in this area? ☒ Yes (if any area below is checked) ☐ No

☒ Inability to identify potentially dangerous situations
☒ Lack of community orientation skills
☐ Inappropriate interactions with others
☒ Inability to deal with verbally/physically aggressive persons
☒ Verbally/physically abusive to others
☒ "Victim" history exists
☒ Other: Rick is unable to report to the appropriate person if he were to be abused.

Specific measures to minimize risk of abuse for each area checked:

- **Inability to identify potentially dangerous situations:** Rick has been diagnosed with developmental disabilities. Due to this, he may not understand what constitutes a dangerous situation, therefore unable identify if a situation were to be dangerous. This makes him more vulnerable to physical abuse.
- **Lack of community orientation skills:** Rick has been diagnosed with developmental disabilities, physical disabilities, and lacks a formal communication system. Staff provide supervision of Rick while in the community and provide him with full physical support to practice all pedestrian and traffic safety skills. Staff observe what is occurring around Rick and intervene on his behalf if a potentially dangerous situation were to arise. Staff will call 911 on Rick's behalf in the event of an emergency.
- **Inability to deal with verbally/physically aggressive persons:** : Rick has been diagnosed with Autism, Hypospadias, Nystagmus-congenital, Optic Nerve Atrophy, Scoliosis, a Seizure Disorder, Spastic Quadriplegia, Spinal Fusion, Syndactyly, in addition to profound developmental disabilities and he lacks a formal communication system. Rick is unable to handle physically or verbally aggressive people as he lacks the ability to be assertive and may cooperate in an abusive situation due to his limited understanding and physical inability to defend himself.
- **Verbally/physically abusive to others:** Rick may become upset during transitions or changes in his routine, when offered food that he does not prefer, and when he is not feeling well. Occasionally, the cause of Rick's upset is unknown. When agitated, he may flail his arms and legs, bumping into and tipping over objects. Rick may try to grab or bump into peers' wheelchairs or other items in the room. Richard may have high pitched vocalizations when very upset. Rick is supported with verbal cues, time for transition and manipulatives to aid him. If Rick becomes upset, he will be moved a safe distance from others, and/or others and items will be moved a safe distance away. If Rick is in his wheelchair, two staff will support him in moving to the floor and his wheelchair will be moved out of the room. Rick is spoken to in a clear, conscious manner and everything that is happening is explained to him. Staff will avoid asking him questions when he is agitated. He is provided personal space once he is on the floor.
- **"Victim" history exists:** Rick has a history of being victimized and did not call out for assistance or to indicate he was being hurt. Staff will monitor Rick at all times and intervene in situations in which he may be or is being victimized.
- **Rick is unable to report to the appropriate person if he were to be abused:** Due to Rick's developmental disability and his lack of a formal communication system, he is unable to identify abusive situations, identify the appropriate person to tell, and to make a report.
- **All Areas:** Staff provide Rick with supervision at all times. If a staff were to witness physical abuse of Rick, staff would verbally tell the abuser to stop and staff would move Rick to a safe location. Staff will assess for any injury and provide necessary first aid per their training and seek further medical attention as needed. All staff are mandated reporters and are trained upon hire and annually thereafter to the MN State Vulnerable Adult Law and company Vulnerable Adult Maltreatment Reporting and Review Policy and Procedures. Staff will report all suspected or known maltreatment of Rick per company policy.

Referrals made when the person is susceptible to abuse outside the scope or control of this program (Identify the referral and the date it occurred). **Not applicable.**

C. Self Abuse

Is the person susceptible to abuse in this area? ☒ Yes (if any area below is checked) ☐ No

☒ Dresses inappropriately
☒ Refuses to eat
☒ Inability to care for self-help needs
☒ Lack of self-preservation skills (ignores personal safety)
☒ Engages in self-injurious behaviors
☐ Neglects or refuses to take medications
☐ Other:

Specific measures to minimize risk of abuse for each area checked:

- **Dresses inappropriately:** Rick has been diagnosed with developmental disabilities, which limits his understanding of weather appropriate clothing. He requires full assistance in dressing for weather conditions and temperature. Staff assess the weather conditions and provide him with the appropriate clothing for the weather. This may include the use of a hat, coat/jacket, gloves, etc. Due to Rick's physical disabilities, staff provide full assistance to Rick in putting on clothing appropriate for the weather.
- **Refuses to eat:** Rick may refuse to eat if his food is not at his preferred consistency or temperature. He may refuse to drink if it is not a preferred beverage, if it is not offered first, or if is not at the preferred consistency. Staff will ensure that his food is prepared per his known preferences and will support him in drinking his beverage prior to beginning to eat his meal.
- **Inability to care for self-help needs:** Rick has been diagnosed with Cerebral Palsy, Spastic Quadriparesis, Scoliosis, Psoriasis and a history of seizures, in addition to profound developmental disabilities and the lack of verbal communication. Due to Rick's disabilities he requires full assistance in caring for all of his self-help needs. Staff are trained to Rick's self-help needs and communicate with him while performing self-help tasks.
- **Lack of self-preservation skills (ignores personal safety):** Rick has been diagnosed with developmental disabilities, physical disabilities, and lacks a formal communication system. Rick may not understand when a situation is not safe, and does not have the physical ability to keep himself out of unsafe situations. Staff provide supervision of Rick at all times. Staff observe what is occurring around Rick and intervene on his behalf if a potentially dangerous situation were to arise. If needed, staff will assess for any injury and provide necessary first aid per their training and seek further medical attention as needed. All staff are mandated reporters and are trained upon hire and annually thereafter to the MN State Vulnerable Adult Law and company Vulnerable Adult Maltreatment Reporting and Review Policy and Procedures. Staff will report all suspected or known maltreatment of Rick per company policy. Staff will call 911 on Rick's behalf in the event of an emergency.
- **Engages in self-injurious behaviors:** When agitated, he may flail his arms and legs, bumping into and tipping over objects. This may cause his injury to his arms and/or legs. If Rick becomes upset and begins to do this, he will be moved a safe distance from others, and/or others and items will be moved a safe distance away. If Rick is in in his wheelchair, two staff will support him in moving to the floor and his wheelchair will be moved out of the room. Rick is spoken to in a clear, conscious

manner and everything that is happening is explained to him. Staff will avoid asking him questions when he is agitated. He is provided personal space once he is on the floor.

Referrals made when the person is susceptible to abuse outside the scope or control of this program (Identify the referral and the date it occurred). **Not applicable.**

D. Financial Exploitation

Is the person susceptible in this area? ☒ Yes (if any area below is checked) ☐ No

☒ Inability to handle financial matters
☐ Other:

Specific measures to minimize risk of abuse for each area checked:

- **Inability to handle financial matters:** PAI does not handle the safekeeping of money for Rick. Should Rick bring money to PAI to utilize, staff will support him in making a purchase, collecting the correct change and receipt, and sending the change and receipt home in his communication book. All staff are mandated reporters and are trained upon hire and annually thereafter to the MN State Vulnerable Adult Law and company Vulnerable Adult Maltreatment Reporting and Review Policy and Procedures. Staff will report all suspected or known financial maltreatment of Rick per company policy.

Referrals made when the person is susceptible to abuse outside the scope or control of this program (Identify the referral and the date it occurred).

E. Is the program aware of this person committing a violent crime or act of physical aggression toward others? ☐ Yes ☒ No

Specific measures to be taken to minimize the risk this person might reasonably be expected to pose to visitors to the program and persons outside the program, if unsupervised:

Referrals made when the person is susceptible to abuse outside the scope or control of this program (Identify the referral and the date it occurred).

An individual abuse prevention plan is developed for each new person as part of the initial service plan. The person will participate in the development of the plan to the full extent of their ability. When applicable, the person's legal representative will be given the opportunity to participate with or for the person in the development of the plan. The interdisciplinary team will document the review of the plan at least annually, using an individual assessment, as required in MN Statutes, section 245D.071, subd. 3, and any reports of abuse relating to the person. The plan shall be revised to reflect the results of this review.