Individual Abuse Prevention Plan (IAPP)

Instructions and requirements:

This program is required to establish and enforce ongoing written individual abuse prevention plans as required under Minnesota Statutes, section 626.557, subdivision 14 and section 245A.65, subdivision 2 (b).

Development and review of the plan: An individual abuse prevention plan shall be developed for each new person as part of the initial individual program plan or service plan required under the applicable licensing rule. The review and evaluation of the individual abuse prevention plan shall be done as part of the review of the program plan or service plan. The person receiving services shall participate in the development of the individual abuse prevention plan to the full extent of the person's abilities. If applicable, the person's legal representative shall be given the opportunity to participate with or for the person in the development of the plan. The interdisciplinary team shall document the review of all abuse prevention plans at least annually, using the individual assessment and any reports of abuse relating to the person. The plan shall be revised to reflect the results of this review.

Plan contents: The plan shall include a statement of measures that will be taken to minimize the risk of abuse to the vulnerable adult when the individual assessment required in section 626.557, subdivision 14, paragraph (b), indicates the need for measures in addition to the specific measures identified in the program abuse prevention plan. The measures shall include the specific actions the program will take to minimize the risk of abuse within the scope of the licensed services, and will identify referrals made when the vulnerable adult is susceptible to abuse outside the scope or control of the licensed services. When the assessment indicates that the vulnerable adult does not need specific risk reduction measures in addition to those identified in the program abuse prevention plan, the individual abuse prevention plan shall document this determination.

Requirements of 626.557, subd. 14(b): Each facility, including a home health care agency and personal care attendant services providers, shall develop an individual abuse prevention plan for each vulnerable adult residing there or receiving services from them. The plan shall contain an individualized assessment of: (1) the person's susceptibility to abuse by other individuals, including other vulnerable adults; (2) the person's risk of abusing other vulnerable adults; and (3) statements of the specific measures to be taken to minimize the risk of abuse to that person and other vulnerable adults. For the purposes of this paragraph, the term "abuse" includes self-abuse.

Persons with history of violent crime an act of physical aggression toward others: If the program knows that the vulnerable adult has committed a violent crime or an act of physical aggression toward others, the individual abuse prevention plan must detail the measures to be taken to minimize the risk that the vulnerable adult might reasonably be expected to pose to visitors to the facility and persons outside the facility, if unsupervised. Under this section, a facility knows of a vulnerable adult's history of criminal misconduct or physical aggression if it receives such information from a law enforcement authority or through a medical record prepared by another facility, another health care provider, or the facility's ongoing assessments of the vulnerable adult.

Legal Authority: MS §§ 245D.071, subd. 2, 245A.65, subd. 2, and 626.557, subd. 14

Individual Abuse Prevention Plan (IAPP)

Person's Austin: **Stuart Austin Helgason (Austin)**

Program: PAI Oakdale

Instructions: For each area, assess whether the person is susceptible to abuse by others and the person's risk of abusing other vulnerable people. If susceptible, indicate why by checking the appropriate reason or by adding a reason. Identify specific measures to be taken to minimize the risk within the scope of licensed services and identify referrals needed when the person is susceptible outside the scope or control of the licensed services. If the person does not need specific risk reduction measures in addition to those identified in the program abuse prevention plan, document this determination and identify the area of the program prevention plan that addresses the area of susceptibility.

A. Sexual abuse

Is the person susceptible to abuse in this area? ☒ Yes (if any area below is checked) ☐ No

☒ Lack of understanding of sexuality
☒ Likely to seek or cooperate in an abusive situation
☒ Inability to be assertive
☒ Other: Unable to report

Specific measures to minimize risk of abuse for each area checked:

- **All Areas:** Staff provide Austin with supervision at all times. If a staff were to witness sexual abuse involving Austin, staff would verbally tell the abuser to stop and physically move Austin to a safe location. Staff will assess for any injury, provide necessary first aid per their training and seek further medical attention as needed. All staff and volunteers are mandated reporters and are trained upon hire and annually thereafter to the MN State Vulnerable Adult Law and company Vulnerable Adult Maltreatment Reporting and Review Policy and Procedures. Staff will report all suspected or known maltreatment per company policy.
- **Lack of understanding of sexuality:** Austin has been diagnosed with Cerebral Palsy and Lennox-Gastruat Syndrome resulting in developmental delays. Due to this, Austin may not understand situations of a sexual nature. Austin may also not be able to understand the difference between abusive situations and non-abusive situations. This makes Austin more vulnerable to sexual abuse.

- **Likely to seek or cooperate in an abusive situation and the inability to be assertive**: Austin has been diagnosed with Cerebral Palsy and is unable to physically or verbally be assertive. Austin may cooperate if in an abusive situation due to his limited understanding and inability to defend himself.

- **Austin is unable to report to the appropriate person if she were to be abused:** Due to Austin's developmental disability and lack of formal communication, Austin is unable to identify abusive situations, identify the appropriate person to tell, or to make a report.

Referrals made when the person is susceptible to abuse outside the scope or control of this program (Identify the referral and the date it occurred).

B. Physical Abuse

Is the person susceptible to abuse in this area? ☒ Yes (if any area below is checked) ☐ No

- ☒ Inability to identify potentially dangerous situations
- ☒ Lack of community orientation skills
- ☐ Inappropriate interactions with others
- ☒ Inability to deal with verbally/physically aggressive persons
- ☐ Verbally / physically abusive to others
- ☐ "Victim" History exists
- ☒ Other: Unable to report abuse

Specific measures to minimize risk of abuse for each area checked:

- **All Areas:** Austin has been diagnosed with Cerebral Palsy and Lennox-Gastruat Syndrome resulting in developmental delays. Austin may not understand what constitutes a dangerous situation, how to advocate for safety or handle situations of abuse. Austin would be unable to report abuse. Staff provide Austin with supervision at all times. If a staff were to witness physical or emotional abuse involving Austin, staff would verbally tell the abuser to stop and physically assist Austin to a safe location. Staff will assess for any injury and provide necessary first aid per their training and seek further medical attention as needed. All staff and volunteers are mandated reporters and are trained upon hire and annually thereafter to the MN State Vulnerable Adult Law and company Vulnerable Adult Maltreatment Reporting and Review Policy and Procedures. Staff will report all suspected or known maltreatment per company policy.

- **Inability to identify potentially dangerous situations:** Staff observe what is occurring around Austin and intervene on Austin's behalf if a potentially dangerous situation were to happen. Staff will call 911 on Austin's behalf in the event of an emergency.

- **Lack of community orientation skills:** Staff provide supervision of Austin while in the community and provide Austin with full physical support to practice all pedestrian and traffic safety skills. Staff access community resources with and on behalf of Austin.

- **Inability to deal with verbally/physically aggressive persons:** Austin would be unable to respond to physically or verbally aggressive people, Austin lacks the ability to be assertive and may cooperate in an abusive situation due to a limited understanding and physical inability to defend from a verbally or physically aggressive person.

Referrals made when the person is susceptible to abuse outside the scope or control of this program (Identify the referral and the date it occurred). No referrals are needed at this time.

C. Self Abuse

Is the person susceptible to abuse in this area? ☒ Yes (if any area below is checked) ☐ No

- ☒ Dresses inappropriately
- ☐ Refuses to eat
- ☒ Inability to care for self-help needs
- ☒ Lack of self-preservation skills (ignores personal safety)
- ☒ Engages in self-injurious behaviors
- ☐ Neglects or refuses to take medications
- ☐ Other: NA

Specific measures to minimize risk of abuse for each area checked:

- **Dresses inappropriately:** Austin has been diagnosed with Hyperthermia and Hypothermia in addition to Cerebral Palsy and Lennox-Gastruat Syndrome, Austin's understanding of weather appropriate clothing is unknown. Austin requires help in dressing for weather conditions, temperature, and comfort. Due to Austin's fine motor limitations, staff help in putting on clothing appropriate for the weather. Staff assess the weather conditions and assist Austin with the appropriate clothing for the environment. This may include the use of a hat, coat/jacket, gloves, blankets etc. Austin is supported in a climate-controlled environment.

- **Inability to care for self-help needs:** Due to Austin's disabilities he requires full assistance in caring for all of self-help needs. Staff are trained to Austin's self-help needs and communicate with Austin while performing self-help tasks. Austin is encouraged to participate in self-help tasks to the greatest of his ability.

- **Lack of self-preservation skills (ignores personal safety):** Austin has been diagnosed with Cerebral Palsy and Lennox-Gastruat Syndrome resulting in developmental delays. Austin may not understand when a situation is not safe and does not have the physical ability to keep himself out of unsafe situations. Staff provide supervision to Austin at all times. Staff observe what is occurring around Austin and intervene on his behalf if a potentially dangerous situation were to happen. If needed, staff will assess for any injury and provide necessary first aid per their training and seek further medical attention as needed. Staff will call 911 on Austin's behalf in the event of an emergency. All staff are mandated reporters and are trained upon hire and annually thereafter to the MN State Vulnerable Adult Law and company Vulnerable Adult Maltreatment Reporting and Review Policy and Procedures. Staff will report all suspected or known maltreatment per company policy.

- **Engages in self-injurious behaviors:** Austin has been diagnosed with a Visual Impairment and is considered legally blind. Austin may inadvertently scratch his face or eyes when his hands are not covered for warmth. Austin is supported in wearing his mitts for warmth; should Austin be engaging in an activity without his mitts on, staff will remain within arm's reach of Austin and will physically & verbally redirect him should he scratch his face.

Referrals made when the person is susceptible to abuse outside the scope or control of this program (Identify the referral and the date it occurred). No referrals are needed at this time.

D. Financial Exploitation

Is the person susceptible in this area? ☒ Yes (if any area below is checked) ☐ No

☒ Inability to handle financial matters
☐ Other: NA

Specific measures to minimize risk of abuse for each area checked:

- **Inability to handle financial matters:** PAI does not handle the safekeeping of money for Austin. Should Austin bring money to PAI to utilize, staff will support him in making a purchase, collecting the correct change and receipt, and sending the change and receipt home in his communication book. All staff are mandated reporters and are trained upon hire and annually thereafter to the MN State Vulnerable Adult Law and company Vulnerable Adult Maltreatment Reporting and Review Policy and Procedures. Staff will report all suspected or known financial maltreatment involving company policy.

Referrals made when the person is susceptible to abuse outside the scope or control of this program (Identify the referral and the date it occurred). No referrals are needed at this time.

E. Is the program aware of this person committing a violent crime or act of physical aggression toward others? ☐ Yes ☒ No

Specific measures to be taken to minimize the risk this person might reasonably be expected to pose to visitors to the program and persons outside the program, if unsupervised: NA

Referrals made when the person is susceptible to abuse outside the scope or control of this program (Identify the referral and the date it occurred). No referrals are needed at this time.

An individual abuse prevention plan is developed for each new person as part of the initial service plan. The person will participate in the development of the plan to the full extent of their ability. When applicable, the person's legal representative will be given the opportunity to participate with or for the person in the development of the plan. The interdisciplinary team will document the review of the plan at least annually, using an individual assessment, as required in MN Statutes, section 245D.071, subd. 3, and any reports of abuse relating to the person. The plan shall be revised to reflect the results of this review.