Individual Abuse Prevention Plan (IAPP)

Instructions and requirements:

This program is required to establish and enforce ongoing written individual abuse prevention plans as required under Minnesota Statutes, section 626.557, subdivision 14 and section 245A.65, subdivision 2 (b).

Development and review of the plan: An individual abuse prevention plan shall be developed for each new person as part of the initial individual program plan or service plan required under the applicable licensing rule. The review and evaluation of the individual abuse prevention plan shall be done as part of the review of the program plan or service plan. The person receiving services shall participate in the development of the individual abuse prevention plan to the full extent of the person's abilities. If applicable, the person's legal representative shall be given the opportunity to participate with or for the person in the development of the plan. The interdisciplinary team shall document the review of all abuse prevention plans at least annually, using the individual assessment and any reports of abuse relating to the person. The plan shall be revised to reflect the results of this review.

Plan contents: The plan shall include a statement of measures that will be taken to minimize the risk of abuse to the vulnerable adult when the individual assessment required in section 626.557, subdivision 14, paragraph (b), indicates the need for measures in addition to the specific measures identified in the program abuse prevention plan. The measures shall include the specific actions the program will take to minimize the risk of abuse within the scope of the licensed services, and will identify referrals made when the vulnerable adult is susceptible to abuse outside the scope or control of the licensed services. When the assessment indicates that the vulnerable adult does not need specific risk reduction measures in addition to those identified in the program abuse prevention plan, the individual abuse prevention plan shall document this determination.

Requirements of 626.557, subd. 14(b): Each facility, including a home health care agency and personal care attendant services providers, shall develop an individual abuse prevention plan for each vulnerable adult residing there or receiving services from them. The plan shall contain an individualized assessment of: (1) the person's susceptibility to abuse by other individuals, including other vulnerable adults; (2) the person's risk of abusing other vulnerable adults; and (3) statements of the specific measures to be taken to minimize the risk of abuse to that person and other vulnerable adults. For the purposes of this paragraph, the term "abuse" includes self-abuse.

Persons with history of violent crime an act of physical aggression toward others: If the program knows that the vulnerable adult has committed a violent crime or an act of physical aggression toward others, the individual abuse prevention plan must detail the measures to be taken to minimize the risk that the vulnerable adult might reasonably be expected to pose to visitors to the facility and persons outside the facility, if unsupervised. Under this section, a facility knows of a vulnerable adult's history of criminal misconduct or physical aggression if it receives such information from a law enforcement authority or through a medical record prepared by another facility, another health care provider, or the facility's ongoing assessments of the vulnerable adult.

Legal Authority: MS §§ 245D.071, subd. 2, 245A.65, subd. 2, and 626.557, subd. 14

Individual Abuse Prevention Plan (IAPP)

Person's Name: Nicholas Kessler

Program: PAI-Oakdale

Instructions: For each area, assess whether the person is susceptible to abuse by others and the person's risk of abusing other vulnerable people. If susceptible, indicate why by checking the appropriate reason or by adding a reason. Identify specific measures to be taken to minimize the risk within the scope of licensed services and identify referrals needed when the person is susceptible outside the scope or control of the licensed services. If the person does not need specific risk reduction measures in addition to those identified in the program abuse prevention plan, document this determination, and identify the area of the program prevention plan that addresses the area of susceptibility.

A. Sexual abuse

Is the person susceptible to abuse in this area? ☒ Yes (if any area below is checked) ☐ No

☒ Lack of understanding of sexuality
☒ Likely to seek or cooperate in an abusive situation
☒ Inability to be assertive
☒ Other: Unable to report

Specific measures to minimize risk of abuse for each area checked:

Referrals made when the person is susceptible to abuse outside the scope or control of this program (Identify the referral and the date it occurred).

- **All Areas:** Staff always provide Nicholas with supervision. If a staff were to witness sexual abuse involving Nicholas, staff would verbally tell the abuser to stop and physically guide Nicholas to a safe location. Staff will assess for any injury, provide necessary first aid per their training and seek further medical attention as needed. All staff and volunteers are mandated reporters. They and are trained upon hire and annually thereafter to the MN State Vulnerable Adult Law and the company Vulnerable Adult Maltreatment Reporting Policy and Procedures. Staff will report any suspected or known maltreatment per company policy.

- **Lack of understanding of sexuality:** Nicholas has been diagnosed with a severe intellectual disability and autism and may not understand situations of a sexual nature. Nicholas may also not be able to understand the difference between abusive situations and non-abusive situations. Nicholas is very affectionate and may not understand when his actions make him more vulnerable to abuse.

- **Likely to seek or cooperate in an abusive situation and the inability to be assertive**: Nicholas is a very friendly individual who has been diagnosed with severe intellectual disability. Nicholas may be hesitant to follow demands of a stranger, but if they were familiar to him, he may participate. If someone were to offer him something that was of interest to him, he would also probably go with the individual. Nicholas may also not know how to be assertive in a situation or know who to report abuse to.

- **Nicholas is unable to report to the appropriate person if he were to be abused:** Due to Nicholas' intellectual disability, autism, and his lack of a formal communication system, he is unable to identify abusive situations, identify the appropriate person to tell, and to make a report.

B. Physical Abuse

Is the person susceptible to abuse in this area? ☒ Yes (if any area below is checked) ☐ No

☒ Inability to identify potentially dangerous situations
☒ Lack of community orientation skills
☒ Inappropriate interactions with others
☒ Inability to deal with verbally/physically aggressive persons
☒ Verbally/physically abusive to others
☐ "Victim" history exists
☒ Other: Unable to report

Specific measures to minimize risk of abuse for each area checked:

- **All Areas:** Nicholas has been diagnosed with a severe intellectual disability. Nicholas may not understand what constitutes a dangerous situation, how to advocate for his own safety or how to handle situations of abuse. Nicholas may not have the communication skills to be able to report abuse. Staff always provide Nicholas with supervision. If a staff were to witness physical or emotional abuse involving Nicholas, staff would verbally tell the abuser to stop and physically assist Nicholas to a safe location. Staff will assess for any injury and provide necessary first aid per their training and seek further medical attention as needed. All staff and volunteers are mandated reporters and are trained upon hire and annually thereafter to the MN State Vulnerable Adult Law and company Vulnerable Adult Maltreatment Reporting and Review Policy and Procedures. Staff will report all suspected or known maltreatment per company policy.

- **Inability to identify potentially dangerous situations:** Staff observe what is occurring around Nicholas and intervene on his behalf if a potentially dangerous situation were to happen. Staff will call 911 on Nicholas' behalf in the event of an emergency.

- **Lack of community orientation skills:** Staff provide supervision of Nicholas while in the community and provide Nicholas with verbal cues and full physical support as needed to practice all pedestrian and traffic safety skills. Staff access community resources with and on behalf of Nicholas.

- **Inappropriate interactions with others:** Nicholas may touch others or be in their personal space without their consent. These interactions could be misinterpreted and put Nicholas at an increased risk of retaliation. Staff use teachable moments to remind Nicholas to respect others personal space and property.

- **Inability to deal with verbally/physically aggressive persons:** Nicholas may be unable to handle physically or verbally aggressive people. Nicholas may not be assertive and may cooperate in an abusive situation due to his limited understanding and physical ability to defend himself from a verbally or physically aggressive person.

- **Verbally / Physically abusive to others:** Nicholas has a history of charging at his staff when he is trying to communicate that he is upset, frustrated, or when his communication is not understood. Staff offer verbal and physical redirection to Nicholas, as needed.

- **Nicholas is unable to report to the appropriate person if he were to be abused:** Due to Nicholas' intellectual disability, autism, and his lack of a formal communication system, he is unable to identify abusive situations, identify the appropriate person to tell, and to make a report.

Referrals made when the person is susceptible to abuse outside the scope or control of this program (Identify the referral and the date it occurred).

C. Self Abuse

Is the person susceptible to abuse in this area? ☐ Yes (if any area below is checked) ☐ No

- ☒ Dresses inappropriately
- ☐ Refuses to eat
- ☒ Inability to care for self-help needs
- ☒ Lack of self-preservation skills (ignores personal safety)
- ☒ Engages in self-injurious behaviors
- ☒ Neglects or refuses to take medications
- ☐ Other:

Specific measures to minimize risk of abuse for each area checked:

- **Dresses inappropriately:** Nicholas has a limited understanding of weather appropriate clothing. Nicholas requires help in dressing for weather conditions and temperature. Staff assess the weather conditions and assist Nicholas with choosing appropriate clothing for the weather. During the winter months, staff with ensure that Nicholas has his hat and mittens on when outside, and in his backpack when he is inside.

- **Inability to care for self-help needs:** Due to Nicholas' disabilities he requires assistance in caring for most of his self-help needs. Staff are trained to Nicholas' self-help needs and provide Verbal prompts, gestural cues, and/or physical assistance as needed to perform self-help tasks.

- **Lack of self-preservation skills (ignores personal safety):** Nicholas may not understand when a situation is not safe and does not have the ability to keep himself out of unsafe situations. Staff always provide supervision to Nicholas. Staff observe what is occurring around Nicholas and intervene on his behalf if a potentially dangerous situation were to happen. If needed, staff will assess for any injury and provide necessary first aid per their training and seek further medical attention as needed. Staff will call 911 on Nicholas' behalf in the event of an emergency. All staff are mandated reporters and are trained upon hire and annually thereafter to the MN State Vulnerable Adult Law and company Vulnerable Adult Maltreatment Reporting and Review Policy and Procedures. Staff will report all suspected or known maltreatment per company policy.

- **Engages in self-injurious behaviors:** Nicholas has a history of biting his finger or pulling hair when he is trying to communicate that he is upset, frustrated, or when his communication is not understood. Staff offer verbal and physical redirection to Nicholas, as needed.

- **Neglects of refuses to take medications:** Nicholas is not able to identify when he needs to receive medication or treatments. Nicholas requires the supported of a trained medication administrator to administer his medications.

Referrals made when the person is susceptible to abuse outside the scope or control of this program (Identify the referral and the date it occurred).

D. Financial Exploitation

Is the person susceptible in this area? ☒ Yes (if any area below is checked) ☐ No

☒ Inability to handle financial matters
☐ Other:

Specific measures to minimize risk of abuse for each area checked:

- **Inability to handle financial matters:** PAI does not handle the safekeeping of money for Nicholas. Should Nicholas bring money to PAI to utilize, staff will support him in making a purchase, collecting the correct change and receipt, and sending the change and receipt home in his communication book. All staff are mandated reporters and are trained upon hire and annually thereafter to the MN State Vulnerable Adult Law and company Vulnerable Adult Maltreatment Reporting and Review Policy and Procedures. Staff will report all suspected or known financial maltreatment involving company policy.

Referrals made when the person is susceptible to abuse outside the scope or control of this program (Identify the referral and the date it occurred).

E. Is the program aware of this person committing a violent crime or act of physical aggression toward others? ☒ Yes ☐ No

Specific measures to be taken to minimize the risk this person might reasonably be expected to pose to visitors to the program and persons outside the program, if unsupervised:

- Nicholas has a history of aggression but does not have a criminal record. He has aggressed towards others when he is upset, his routine is interfered with, not having enough time, or if he is with a staff that does not know him well. Nicholas may express these emotions by biting his hand, pulling hair, or even charging at someone. Nicholas may need assistance finding a place to 'cool off.' Nicholas accepts redirection best when presented with another activity like jumping around. All staff are mandated reporters and are trained upon hire and annually thereafter to the MN State Vulnerable Adult Law and company Vulnerable Adult Maltreatment Reporting and Review Policy and Procedures. Staff will report all suspected or known financial maltreatment involving company policy.

Referrals made when the person is susceptible to abuse outside the scope or control of this program (Identify the referral and the date it occurred).

An individual abuse prevention plan is developed for each new person as part of the initial service plan. The person will participate in the development of the plan to the full extent of their ability. When applicable, the person's legal representative will be given the opportunity to participate with or for the person in the development of the plan. The interdisciplinary team will document the review of the plan at least annually, using an individual assessment, as required in MN Statutes, section 245D.071, subd. 3, and any reports of abuse relating to the person. The plan shall be revised to reflect the results of this review.