

**Individual Abuse Prevention Plan (IAPP)**

**Instructions and requirements:**

This program is required to establish and enforce ongoing written individual abuse prevention plans as required under Minnesota Statutes, section 626.557, subdivision 14 and section 245A.65, subdivision 2 (b).

Development and review of the plan: An individual abuse prevention plan shall be developed for each new person as part of the initial individual program plan or service plan required under the applicable licensing rule. The review and evaluation of the individual abuse prevention plan shall be done as part of the review of the program plan or service plan. The person receiving services shall participate in the development of the individual abuse prevention plan to the full extent of the person's abilities. If applicable, the person's legal representative shall be given the opportunity to participate with or for the person in the development of the plan. The interdisciplinary team shall document the review of all abuse prevention plans at least annually, using the individual assessment and any reports of abuse relating to the person. The plan shall be revised to reflect the results of this review.

Plan contents: The plan shall include a statement of measures that will be taken to minimize the risk of abuse to the vulnerable adult when the individual assessment required in section 626.557, subdivision 14, paragraph (b), indicates the need for measures in addition to the specific measures identified in the program abuse prevention plan. The measures shall include the specific actions the program will take to minimize the risk of abuse within the scope of the licensed services, and will identify referrals made when the vulnerable adult is susceptible to abuse outside the scope or control of the licensed services. When the assessment indicates that the vulnerable adult does not need specific risk reduction measures in addition to those identified in the program abuse prevention plan, the individual abuse prevention plan shall document this determination.

Requirements of 626.557, subd. 14(b): Each facility, including a home health care agency and personal care attendant services providers, shall develop an individual abuse prevention plan for each vulnerable adult residing there or receiving services from them. The plan shall contain an individualized assessment of: (1) the person's susceptibility to abuse by other individuals, including other vulnerable adults; (2) the person's risk of abusing other vulnerable adults; and (3) statements of the specific measures to be taken to minimize the risk of abuse to that person and other vulnerable adults. For the purposes of this paragraph, the term "abuse" includes self-abuse.

Persons with history of violent crime an act of physical aggression toward others: If the program knows that the vulnerable adult has committed a violent crime or an act of physical aggression toward others, the individual abuse prevention plan must detail the measures to be taken to minimize the risk that the vulnerable adult might reasonably be expected to pose to visitors to the facility and persons outside the facility, if unsupervised. Under this section, a facility knows of a vulnerable adult's history of criminal misconduct or physical aggression if it receives such information from a law enforcement authority or through a medical record prepared by another facility, another health care provider, or the facility's ongoing assessments of the vulnerable adult.

Legal Authority: MS §§ 245D.071, subd. 2, 245A.65, subd. 2, and 626.557, subd. 14

# PAI

## Individual Abuse Prevention Plan (IAPP)

Person's Name: Andrea Hillestad

Program: PAI – Parkway

**Instructions:** For each area, assess whether the person is susceptible to abuse by others and the person's risk of abusing other vulnerable people. If susceptible, indicate why by checking the appropriate reason or by adding a reason. Identify specific measures to be taken to minimize the risk within the scope of licensed services and identify referrals needed when the person is susceptible outside the scope or control of the licensed services. If the person does not need specific risk reduction measures in addition to those identified in the program abuse prevention plan, document this determination and identify the area of the program prevention plan that addresses the area of susceptibility.

### A. Sexual abuse

Is the person susceptible to abuse in this area?  Yes (if any area below is checked)  No

- Lack of understanding of sexuality
- Likely to seek or cooperate in an abusive situation
- Inability to be assertive
- Other: Andrea is unable to report to the appropriate person if she were to be abused.

Specific measures to minimize risk of abuse for each area checked:

- **Lack of understanding of sexuality:** Andrea has been diagnosed with Severe Mental Retardation, Partial Trisomy 13, hearing loss, and Obsessive-Compulsive/Anxiety Disorder. Due to this, she may not understand situations of a sexual nature. She may also not be able to understand the difference between abusive situations and non-abusive situations. This makes her more vulnerable to sexual abuse.
- **Likely to seek or cooperate in an abusive situation and the inability to be assertive** Andrea has been diagnosed with Severe Mental Retardation, Partial Trisomy 13, hearing loss and Obsessive-Compulsive/Anxiety Disorder and she lacks a formal communication system. Andrea is unable to physically or verbally be assertive and may cooperate if in an abusive situation due to her limited understanding and physical inability to defend herself.
- **Andrea is unable to report to the appropriate person if he were to be abused:** Due to Andrea's diagnoses and lack of a formal communication system, she is unable to identify abusive situations, identify the appropriate person to tell, and to make a report.
- **All Areas:** Staff provide Andrea with supervision at all times. If a staff were to witness sexual abuse of Andrea, staff would verbally tell the abuser to stop and staff would move her to a safe location. Staff will assess for any injury and provide necessary first aid per their training and seek further medical attention, as needed. All staff are mandated reporters and are trained upon hire and annually thereafter to the MN State Vulnerable Adult Law and company Vulnerable Adult Maltreatment Reporting and Review Policy and Procedures. Staff will report all suspected or known maltreatment of Andrea per company policy.

**Referrals made when the person is susceptible to abuse outside the scope or control of this program (Identify the referral and the date it occurred).** No referrals needed at this time.

# PAI

## B. Physical Abuse

Is the person susceptible to abuse in this area?  Yes (if any area below is checked)  No

- Inability to identify potentially dangerous situations
- Lack of community orientation skills
- Inappropriate interactions with others
- Inability to deal with verbally/physically aggressive person
- Verbally/physically abusive to others
- "Victim" history exists
- Other:

Specific measures to minimize risk of abuse for each area checked:

- **Inability to identify potentially dangerous situations:** Andrea has been diagnosed with Severe Mental Retardation, Partial Trisomy 13, hearing loss and Obsessive-Compulsive/Anxiety Disorder. Due to this, she may not understand what constitutes a dangerous situation, therefore is unable identify if a situation were to be dangerous. This makes her more vulnerable to physical abuse.
- **Lack of community orientation skills:** Andrea has been diagnosed with Severe Mental Retardation, Partial Trisomy 13, hearing loss and Obsessive-Compulsive/Anxiety Disorder and lacks a formal communication system. Staff provide supervision of Andrea while in the community and provide her with full physical support to practice all pedestrian and traffic safety skills. Staff observe what is occurring around Andrea and intervene on her behalf if a potentially dangerous situation were to arise. Staff will call 911 on Andrea's behalf in the event of an emergency.
- **Inability to deal with verbally/physically aggressive persons:** Andrea has been diagnosed with Severe Mental Retardation, Partial Trisomy 13, hearing loss and Obsessive-Compulsive/Anxiety Disorder and lacks a formal communication system. Andrea is unable to handle physically or verbally aggressive people as she lacks the ability to be assertive and may cooperate in an abusive situation due to her limited understanding and physical inability to defend herself.
- **All Areas:** Staff provide Andrea with supervision at all times. If a staff were to witness physical abuse of Andrea, staff would verbally tell the abuser to stop and staff would move her to a safe location. Staff will assess for any injury and provide necessary first aid per their training and seek further medical attention as needed. All staff are mandated reporters and are trained upon hire and annually thereafter to the MN State Vulnerable Adult Law and company Vulnerable Adult Maltreatment Reporting and Review Policy and Procedures. Staff will report all suspected or known maltreatment of Andrea per company policy.

**Referrals made when the person is susceptible to abuse outside the scope or control of this program (Identify the referral and the date it occurred).** No referrals needed at this time.

## C. Self Abuse

Is the person susceptible to abuse in this area?  Yes (if any area below is checked)  No

- Dresses inappropriately
- Refuses to eat
- Inability to care for self-help needs
- Lack of self-preservation skills (ignores personal safety)
- Engages in self-injurious behaviors
- Neglects or refuses to take medications

PAI

Other:

Specific measures to minimize risk of abuse for each area checked:

- **Dresses inappropriately:** Andrea has been diagnosed with Severe Mental Retardation, Partial Trisomy 13, hearing loss and Obsessive-Compulsive/Anxiety Disorder which limits her understanding of weather appropriate clothing. She requires full assistance in dressing for weather conditions and temperature. Staff assess the weather conditions and provide her with the appropriate clothing for the weather. This may include the use of a hat, coat/jacket, gloves, etc.
- **Inability to care for self-help needs:** Andrea has been diagnosed with Severe Mental Retardation, Partial Trisomy 13, hearing loss and Obsessive-Compulsive/Anxiety Disorder and she lacks a formal communication system. Due to Andrea's disabilities she requires full assistance in caring for all of her self-help needs. Staff are trained to Andrea's self-help needs and communicate with her while performing self-help tasks.
- **Lack of self-preservation skills (ignores personal safety):** Andrea has been diagnosed with Severe Mental Retardation, Partial Trisomy 13, hearing loss and Obsessive-Compulsive/Anxiety Disorder and lacks a formal communication system. Andrea may not understand when a situation is not safe, and does not have the physical ability to keep herself out of unsafe situations. Staff provide supervision of Andrea at all times. Staff observe what is occurring around her and intervene on her behalf if a potentially dangerous situation were to arise. If needed, staff will assess for any injury and provide necessary first aid per their training and seek further medical attention as needed. All staff are mandated reporters and are trained upon hire and annually thereafter to the MN State Vulnerable Adult Law and company Vulnerable Adult Maltreatment Reporting and Review Policy and Procedures. Staff will report all suspected or known maltreatment of Andrea per company policy. Staff will call 911 on Andrea's behalf in the event of an emergency.
- **Engages in self-injurious behaviors:** Andrea has been diagnosed with Severe Mental Retardation, Partial Trisomy 13, hearing loss and Obsessive-Compulsive/Anxiety Disorder. She may pick at sores/pinch herself. Staff are trained on Andrea's supports.

**Referrals made when the person is susceptible to abuse outside the scope or control of this program (Identify the referral and the date it occurred).** No referrals are needed at this time.

**D. Financial Exploitation**

Is the person susceptible in this area?  Yes (if any area below is checked)  No

Inability to handle financial matters

Other:

Specific measures to minimize risk of abuse for each area checked:

- **Inability to handle financial matters:** PAI does not handle the safekeeping of money for Andrea. Should Andrea bring money to PAI to utilize, staff will support her in making a purchase, collecting the correct change and receipt, and sending the change and receipt home in her communication book. All staff are mandated reporters and are trained upon hire and annually thereafter to the MN State Vulnerable Adult Law and company Vulnerable Adult Maltreatment Reporting and Review Policy and Procedures. Staff will report all suspected or known financial maltreatment of Andrea per company policy.

**Referrals made when the person is susceptible to abuse outside the scope or control of this program (Identify the referral and the date it occurred).** No referrals are needed at this time.

# PAI

E. Is the program aware of this person committing a violent crime or act of physical aggression toward others?  Yes  No

Specific measures to be taken to minimize the risk this person might reasonably be expected to pose to visitors to the program and persons outside the program, if unsupervised: NA

Referrals made when the person is susceptible to abuse outside the scope or control of this program (Identify the referral and the date it occurred). NA

An individual abuse prevention plan is developed for each new person as part of the initial service plan. The person will participate in the development of the plan to the full extent of their ability. When applicable, the person's legal representative will be given the opportunity to participate with or for the person in the development of the plan. The interdisciplinary team will document the review of the plan at least annually, using an individual assessment, as required in MN Statutes, section 245D.071, subd. 3, and any reports of abuse relating to the person. The plan shall be revised to reflect the results of this review.

